## 12) 28/01

Please type a plus sign (+) inside this box -	+	110.0-4-	Approv	ved for use through 10/31/02. OMB 0651-0031	
Under the Paperwork Reduction Act	of 1995, no persons ar	e required to respond to a collect	int and Trademark tion of information	Office; U.S. DEPARTMENT OF COMMERCE unless it displays a valid OMB control number.	
TPANGMITT	7∧1	Application Number	09/877,387		
TRANSMITTAL FORM		Filing Date	June 7, 2001		
		First Named Inventor	David S. JONES		
		Group Art Unit	1615		
(to be used for all correspondence a	ofter initial filing)	Examiner Name	To Be Assigned		
Total Number Of Pages In This Submission	n 33	Attorney Docket No.	252312007500		
	ENCLOS	URES (check all that	apply)		
Fee Transmittal Form + copy (2	Pas ) Ass	signment Papers		After Allowance Communication to Group	
Fee Attached		wing(s) (20 Sheets)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Lica	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	Pet	ition		Proprietary Information	
Affidavits/declarations		ition to Convert to a		Status Letter	
Extension of Time Request (1 P	Pov (X) Cha	wer of Attorney, Revocation ange of Correspondence Add Pages)	dress	Other Enclosure(s) (please identify below):	
Express Abandonment Request		minal Disclaimer quest for Refund		Declaration for Patent Application (2 Pgs.) Certificate Under 37 CFR 3.73(b) with copy of assignment (2 Pgs.) Verified Statement Claiming Small Entity Status (1 Pg.)	
Information Disclosure Stateme	nt CD	, Number of CD(s)		Copy of Notice to File Missing Parts (2 Pgs.) Return Receipt postcard	
Certified Copy of Priority Docum	ent(s) Remarks				
Response to Missing Parts/ Incomplete Application					
Response to Missing Pa under 37 CFR 1.52 or 1.					
SI	GNATURE OF	APPLICANT, ATTORN	EY OR AGE	TM	
1	Foerster LLP, 755 Page	e Mill Road, Palo Alto, CA 94304			
or Individual Name Madeline I.	Johnston (Registration	No. 36,174)			
Signature Mad	el				
Date December 1	9, 2001				
CERTIFICATE OF MAILING BY "EXPRESS MAIL"					
Express Mail Label No.: EL779891606US Date of Deposit: December 19, 2001					

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alearaz

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

U.S. Patent al

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FE	E TR/	ANS	SMITT#	<u> </u>
- 10 m	FOR	FY	2002 2002	
8 2000 E				

Complete if Known				
Application Number	09/877,387			
Filing Date	June 7, 2001			
First Named Inventor	David S. JONES			
Examiner Name	To Be Assigned			
Group Art Unit	1615			

Patent fees are subject to annual revision.

Name (Print/Type)

Signature

Madeline I. Johnston

TOTAL AMOUNT OF PAYMENT (\$) 525.00 Attorney Docket No. 252312007500

METHOD OF PAYMENT		FEE CALCULATION (continued)				
1. IX The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		ITIONAL F	EES			
Deposit Account Number 03-1952	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Morrison & Foerster LLP	105	420	205	C.F.	Overhann Inte Client for an arth	
Name	105	130	205	65	Surcharge - late filing fee or oath	65
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification	
2. Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	
Large Entity Small Entity	116	400	216	200	Extension for reply within second month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee	117	920	217	460	Extension for reply within third month	460
Paid	118	1,440	218	720	Extension for reply within fourth month	
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	128	1,960	228	980	Extension for reply within fifth month	
	119	320	219	160	Notice of Appeal	<del></del> -
	120	320 280	220	160	Filing a brief in support of an appeal	<del></del>
108 740 208 370 Reissue filing fee	121	200	221	140	Request for oral hearing	<del>                                     </del>
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (4) (6) 0	140	110	240	55 640	Petition to revive - unavoidable	<del></del>
SUBTOTAL (1) (\$) 0	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Fee from Fee Paid Claims below	143	460	243	230	Design issue fee	
Total Claims 34 -34** = 0 x 18 = \$0	144	620	244	310	Plant issue fee	
Independent 2 $-3^{**}$ = 0 x 84 = \$0 Claims	122	130	122	130	Petitions of the Commissioner	
Multiple Dependent = \$0	123	50	123	50	Petitions related to provisional applications	
,	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per properties (times number of properties)	
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple dependent claims, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 **Reissue claims in excess of 20 and over original patent						
CUDTOTAL IN TINA	Other fee	(specify)				
SUBTOTAL (2) (\$) 0	l					
** or number previously paid, if greater; For reissues, see above. *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 525					525	
SUBMITTED BY Complete (if applicable)						

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Registration No. (Attorney/Agent)

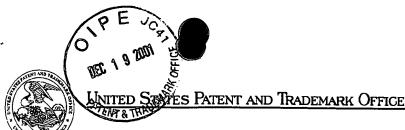
36,174

Telephone

Date

(650) 813-5840

December 19, 2001







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/877,387

06/07/2001

David S. Jones

252312007500

**CONFIRMATION NO. 2401** 

FORMALITIES LETTER

OC000000006347899\*

Madeline I. Johnston Morrison & Foerster LLp 755 Page Mill Road Palo Alto, CA 94304-1018

Date Mailed: 07/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - □ drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/02/2002 KROCKA1 00000004 031952

09877387

01 FC:205

65.00 CH

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE